PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ellection of information unless it displays a valid OMB control number. Application Number 10/809,116 **TRANSMITTAL** Filing Date March 25, 2004 **FORM** First Named Inventor Michael A. Pouchak Art Unit Unknown (to be used for all correspondence after initial filing) **Examiner Name** Unknown Attorney Docket Number H0005466-9952 (1161.1132101) Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Form PTO 1449 5 cited non-U.S. Patent/Publication References Response to Missing Parts/ Reutrned Postcard Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 APPLICANT, ATTORNEY, OR AGENT SIGNATURE OF Firm Brian N. Tuffe, Reg. N ka 638 Individual name: Signature Date July 27, 2004

Typed or printed name Lynn Thompson Date July 27, 2004 NOWYD SOT Signature This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including

CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

the date shown below.



N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael A. Pouchak et al.

Examiner: Unknown

Serial No. 10/809,116

Group Art Unit: Unknown

Filed:

May 25, 2004

Confirmation No.: Unknown

For:

COLD WATER BYPASS AND FIRING RATE CONTROL

Docket No.: H0005466-9952 (1161.1132101)

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Mail Stop Amendments Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Mail Stop Amendment Commissioner for Patents, PO Box 1450, Alexandria, VA 123 3 1450, on this 27th day of July, 2004.

By:

Hrian N. Tuft

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each non-US patent document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Michael A. Pouchak et al.

By their attorney,

Dated: (1) 2 / 2 / 200

Brian N. Tufte, Reg. No. 38,638

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2402 Telephone: (612) 677-9050

Facsimile:

(612) 359-9349

FORM PTO-1449

Page 1 of 1



Atty. Docket No.: H0005466-9952 (1161.1132101)

Serial No.: 10/809,116

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT Applicant: Michael A. Pouchak et al.

Filing Date: Group Art:
March 25, 2004 unknown

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	·
	2002/0193890A1	12/2002	Pouchak	
	4,373,663	02/1983	Hammer	
	5,154,162	10/1992	Chang	
	5,960,558	10/1999	Bourgault	
	6,055,944	05/2000	Santiago	
	6,390,027	05/2002	Lyons et al.	·
	6,401,669	06/2002	Macgowan et al.	
	6,536,678	03/2003	Pouchak	
	6,540,148	04/2003	Salsbury et al.	
- "	6,694,927	02/2004	Pouchak et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation
				Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

ES Engineered Systems, "Features Item: A New Look At Modular Boiler Systems," 10 pages, March 2001.
 Lochinvar, "Intelli-Fin Gas Fired Boilers," 6 pages, April 2003.
 Lochinvar, "Intelli-Fin Sequencing Options Mean New Levels of Building Efficiency," 2 pages, November 2002.
Slant Fin Terra Therma, "Typical Applications, 15 pages, February 2002.
Triad Boiler Systems Inc., "Modular Steel Firetube Packaged Boiler Systems," 3 pages, March 12, 2004.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.